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(Published by Authority)

PART I : SECTION (I) — GENERAL

Government Notifications

THE SRI LANKA ELECTRICITY ACT, No. 20 OF 2009

Regulations made under Section 54

BY virtue of the Powers vested in me by the Section 54 of the Sri Lanka Electricity Act, No. 20 of 2009, and on the recommendation of the Public Utilities Commission of Sri Lanka, I, W. D. J. Seneviratne, the Minister of Power and Energy, do by this order make the under-mentioned regulations.

W. D. J. SENEVIRATNE, Minister of Power and Energy.

Ministry of Power and Energy, 31st August, 2009. 09-637/1

L.D.-B. 3/2009.

SRI LANKA ELECTRICITY ACT, No. 20 OF 2009

REGULATIONS made by the Minister of Power and Energy on the recommendation of the Public Utilities Commission of Sri Lanka under Section 54 of the Sri Lanka Electricity Act, No.20 of 2009, read with Section 11 and Sub-section (1) of Section 21 of that Act.

W. D. J. SENEVIRATNE, Minister of Power and Energy.

Ministry of Power and Energy, Colombo 10, 31st August, 2009.

Regulations

1. These Regulations may be cited as "the Electricity (Applications for Licences and Exemptions) Regulation, 2009".

2. An Application for the issue of-

(a) a generation licence to generate Electricity over and above 25 MW, shall be in such form as specified in Part I of Schedule A to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule;

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 - (b) a generation licence to generate electricity up to 25MW, shall be in such form as specified in Part I of Schedule B to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule.
 - (c) a transmission licence, shall be in such form as specified in Part I of Schedule C to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule ; and
 - (*d*) a distribution licence, shall be in such form as specified in Part I of Schedule D to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule.
 - 3. An application for the grant of an exemption from the requirement of obtaining a-
 - (*a*) generation licence to generate electricity less than 25 MW, shall be in such form specified in Part I of Schedule E to these Regulations and shall be accompanied by the documents and information as specified in Part II of that Schedule ; and
 - (b) distribution licence, shall be in such form as specified in Part I of Schedule F to these Regulations and shall be accompanied by the documents and information as specified in Part II of that Schedule.

4. Every application shall have annexed to it a signed Declaration in the form as specified in Schedule G to these Regulations and such application shall be handed over or sent by registered post to the Commission at its principal office.

5. The Commission shall have the power at its sole discretion at any time after the receipt of an application, to call upon an applicant to provide any clarifications that it may, consider necessary on the contents of the application submitted, for the purpose of processing such application.

6. (1) The application fee payable in respect of applications submitted for the issue of the following licences or for the grant of an exemption, shall be as follows :---

(<i>a</i>)	for a generation licence	-	Rs.	10,000.00
(<i>b</i>)	for a transmission licence	-	Rs.	10,000.00
(<i>c</i>)	for a distribution licence	-	Rs.	10,000.00
(<i>d</i>)	for an exemption from obtaining a generation Licence or a distribution licence	-	Rs.	1,000.00

(2) Application fees shall be paid to the Commission by money order or bank draft, drawn in favour of "the Public Utilities Commission of Sri Lanka" and documentary proof of such payment shall be submitted along with the application.

7. In these Regulations, unless the context otherwise requires :-

- "Act" means the Sri Lanka Electricity Act, No. 20 of 2009;
- "applicant" means the person or body of persons who is or are making an application under the Act for the issue of licence or a for the grant of an exemption, as the case may be;
- "commission" means the Public Utilities Commission of Sri Lanka established by the Public Utilities Commission of Sri Lanka Act, No. 35 of 2002;
- "distribution licence" means a licence granted under paragraph (c)(i)(c) of sub-section (1) of Section 13 of the Act ;

- "exemption" means an exemption granted from the requirement of obtaining a licence to generate or distribute electricity, under sub-section (1) of Section 10 of the Act ;
- "generation licence" means a licence granted under paragraph (c)(i)(a) of Sub-section (1) of Section 13 of the Act ; and
- "transmission licence" means a licence granted under paragraph (c)(i)(b) of Sub-section (1) of Section 13 of the Act.

Schedule E

PART I

Application Form for an Exemption from the requirement of obtaining a Generation Licence

- 1. Name of the Applicant :
- 2. The legal status of the applicant :
- 3. Official address of the applicant :

4. The following information as appropriately applicable :

- (*a*) Where the applicant is a public corporation or a company, the full names of all the members of the governing body of the corporation or of the Board of Directors, as the case may be :
- (b) Where the applicant is a local authority, the full names of all the members of such local authority ;
- (c) where the applicant is a partnership, the full names of all the current partners of such partnership.
- (*d*) Where the applicant is a society registered under the Co-operative Societies Law, No. 5 of 1972, the full names of all the members of the governing body of such registered society ; or
- (e) where the applicant is an unincorporated body of persons, the full names of all the office bearers of such body of persons.

5. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if difference from item 3 above) and other contact details.

6. The desired date from which the exemption is to become operative.

7. Where the applicant is a company, the names of all the shareholders of the company and the number of shares held by each such member.

8. The aggregate capacity (kW or MW) of the generation plant(s) for which the application relates to ;

9. Name, address and place of incorporation of a parent company (if any);

10. List of approvals obtained or proposed to be obtained and agreements executed or proposed to be executed, in relation to this application ;

11. A list of the administrative districts included (in whole or in part) in the geographical areas on which the Applicant seeks to operate its activities upon the grant of an exemption under Section 10 of the Electricity Act, No. 20 of 2009;

12. Details of land required for the proposed activities of the Applicant :

13. Details of any previous application made under the Electricity Act, No. 19 of 1950 or the Electricity Act, No. 20 of 2009, as the case may be, for the issue of a licence to generate or distribute electricity, and where :

- (*a*) such application was accepted and a licence was issued, details of all the activities carried on by the applicant under such licence ;
- (b) such application was rejected, the reasons for such rejection ; or
- (c) such licence was revoked, the reasons for such revocation :

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14. Details of any licence or exemptions obtained, applied for or being applied for by the applicant, in respect of the generation (whether over or less than 25 MW in capacity) or distribution of electricity :

PART II

DOCUMENTS TO ACCOMPANY AN APPLICATION MADE FOR AN EXEMPTION FROM THE REQUIREMENT OF OBTAINING A GENERATION LICENCE

1. If the applicant is :---

- (a) body established by an Act of Parliament, a copy of such Act ;
- (b) a company, a certified copy of the certificate of incorporation and of the Memorandum and Articles of Association of the company ;
- (c) a society registered under the Co-operative Societies Law No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society ;
- (*d*) a partnership, a certified copy of the certificate of registration issued by an appropriate authority under any law or Statute relating to the registration of business names ; or
- (e) any unincorporated body of persons, the constitution of such unincorporated body.

2. Details of technical expertise available with the applicant in carrying out the proposed activities, for which an exemption is being applied for :

3. A statement of how the applicant proposes to comply and adhere to safety and performance standards and technical and other operational codes, in carrying out its activities, in the event of a grant of an exemption :

4. The following information shall be provided :

- (a) names of specific generating plants intended to be operated upon the grant of an exemption.
- (b) an adequate description (see Note below) specifying the actual or proposed locations of the plants *e.g.* by reference to town, administrative district, postal address ;
- (c) a description how the plants will, in each case, be fuelled or driven, including both primary and back-up fuel sources ;
- (d) the date on which any proposed generating plants is expected to be commissioned ; and
- (e) the capacity and type of each unit within each generating plant :

Note : Description.

The description should enable the areas and locations concerned to be adequately and readily identified by map, if the applicant so desires, or by any other convenient means and must be sufficient to make clear the nature and extent of the proposed activities.

Schedule G

DECLARATION

I/We* hereby declare that,

- 1. I/We* shall at all times comply in every respect with the conditions attached to any License/Exemption that may be awarded to me/us* or as the same may be modified from time to time ;
- 2. I/We* shall at all times comply with any decision, direction, order or determination made by the Public Utilities Commission of Sri Lanka in relation to the issue of the license/exemption ; and
- 3. The information provided by me/us* is accurate and complete in all respects.

Full name(s) of signature(y/is).

Signed by:

Position held (where Applicant is a company, partnership, co-operative or other body corporate or other legal entity):

Date:

*Strike off what is inapplicable.

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