**Evaluation of an Application for a License**

**Annexure 20**

***STAGE I – Preliminary Screening***

**Application Reference Number: E/AL/N/16/xx**

1. **Activity: Generation of Electricity up to 25 MW**

 **Basic Information**

1. Name of Applicant : xx
2. Name of Plant/Project : xx
3. Date of Application : 10/08/2016
4. Date of Receipt : 13/09/2016
5. Legal Status of Applicant : Limited Liability Company
6. Contact Person & Number : xx
7. Proposed Generation Capacity : 960 kW
8. Desired date to become operative : 16th July 2016

|  |  |  |  |
| --- | --- | --- | --- |
| Item | Description | Eligible (Y/N) | Remarks |
|  | Eligibility under Section 13, Subsection (4) of Act No.20 of 2009 | Y |  Ok  |

**Eligibility to Apply for a Generation License**

**Basic Requirements**

|  |  |  |  |
| --- | --- | --- | --- |
| Item | Description | Compliance | Remarks |
|  | Submitted in the form as specified in Part I of schedule B | Y | ok |
|  | Submitted Schedule G (Signed Declaration) | Y | ok |
|  | Paid the Application Fee | Y | ok |

Recommended/ Not recommended to publish notice of the Commission’s intention to grant license.

Prepared by : …………………………………………………………….. Checked by : …………………………………………………………………………..

Date : ……………………………………………………………… Date : …………………………………………………………………………..

  ***Public Utilities Commission of Sri Lanka***

Evaluation of an Application for a License

***STAGE II – Submission of Information & Documents***

**Application Reference Number: E/AL/N/16/xx**

**Schedule B – PART I**

|  |  |  |  |
| --- | --- | --- | --- |
| **#** | **ITEM** | **SUBMITTED** | **REMARKS** |
|  | Name of the applicant | YES |  |
|  | The legal status of the applicant | YES |  |
|  | Official address of the applicant | YES |  |
| 1. Names of the Persons Governing
 | 4(a) | Full names of all the members of the Board of Directors ( public Corporation/Company) | YES |  |
| 4(b) | Full names of all the members (Local Authority) |  |  |
| 4(c) | Full names of all the current partners (Partnership) |  |  |
| 4(d) | Full names of all the members of governing body (Registered Co-operative Society) |  |  |
| 4(e) | Full names of all the office bearers (unincorporated Body) |  |  |
|  | Name, Designation, Address and other contact details of a contact person | YES |  |
|  | Desired date on which the license is to become operative | YES |  |
|  | Names of all the shareholders of the company **and** the number of shares held by each such member |  |  |
|  | Proposed generation capacity (kW or MW) for which the license is being applied for | YES | 0.96 MW |
|  | Name, Address and Place of Incorporation of a parent company (if any) | YES |  |
|  | List of approvals obtained of proposed to be obtained and agreements executed or proposed to be executed | NO | EP not submitCEB LOI |
|  | A list of the administrative districts on which the applicant seeks to operate its activities on the license | YES |  |
|  | Whether the application is for the construction of a new plant or for an expansion or refurbishment | YES | Renewable |
| 1. Previous Applications
 |  | If accepted and a license was issued, details of all activities carried on |  |  |
|  | If rejected, the reasons for such rejection |  |  |
|  | If revoked, the reasons for revocation |  |  |
|  | Details of any license or exemptions obtained, applied for or being applied for by the applicant | NA |  |

Evaluation of an Application for a License

***STAGE II – Submission of Information & Documents***

**Application Reference Number: E/AL/N/16/xx**

|  |  |  |  |
| --- | --- | --- | --- |
| **#** | **ITEM** | **SUBMITTED** | **REMARKS** |
| 1. Details of Incorporation
 | 1(a) | Copy of Act (Body established by an Act of Parliament) |  |  |
| 1(b) | Certified copies of Certificate of incorporation and Memorandum & Articles of Association ( Company) | YES |  |
| 1(c) | Certified copy of the Certificate of Registration (Registered Society)  |  |  |
| 1(d) | Certified copy of the Certificate of Registration (Partnership)  |  |  |
| 1(e) | Constitution (unincorporated Body)  |  |  |
| 1. Financial information
 | 2(a) | Audited Annual Accounts | NO | Not submitted |
| 2(b) | Certificate from the applicant’s auditor stating that no material adverse change has occurred |  |
| 2(c) | Annual returns for the immediately preceding year |  |
| 2(d) | Audited accounts of the previous two years |  |
|  | Details of technical expertise | NO |  |
|  | Details of the funding available for the duration of the whole period for which the license is being issued | NA |  |
|  | Details of any expected substantial capital outflow, including major rehabilitation or decommissioning costs | NA |  |
|  | Estimates of net annual cash flows for the duration of the whole period of the duration of the license | NA |  |
|  | Any parent or bank guarantees in favour of given by the applicant | NA |  |
|  | A statement of how the applicant proposes to comply and adhere to safety and performance standards and technical and other operational codes  | YES |  |

**Schedule B – PART II**

|  |  |  |  |
| --- | --- | --- | --- |
| **#** | **ITEM** | **SUBMITTED** | **REMARKS** |
| 1. Pant Details
 | 9(a) | Names of specific generating plants intended to be operated under the license | YES |  |
| 9(b) | Adequate description specifying the actual or proposed locations of the plants | YES |  |
| 9(c) | Description of how the plants will be fuelled or driven, including both primary and back-up fuel sources  | YES |  |
| 9(d) | Date (s) on which any proposed generating plant (s) is expected to be commissioned  | YES | December 2017 |
| 9(e) | Rated capacity (MW) and type of each unit within each generating plant  | YES |  |
| 1. Five Year Business Proposal
 | 10(a) | Maximum power (MW) expected to be available from each generating plant at any given time | YES |   |
| 10(b) | Aggregate energy (GWh) expected to be available from each generating plant during any given year | YES |  |
| 10(c) | Expected life span of each generating plant and generation station | YES | 25 years |
| 10(d) | Number of generating units and the capacity of each generating unit (for each generating plant) | YES | 4 x 500 kW and 1 x 1000 kW |
| 10(e) | Map(s) sufficient to identify the location of all generating plants to which the application relates | YES |  |
| 10(f) | Quantified statement of inputs and discharge from each plant to the environment and the sources of such inputs and discharges | NO |  |
|  | A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire  | YES |  |
|  | Copies of specifications, plans and drawings to a scale sufficient to show clearly all the buildings and structures | YES | But NO SLD |

Evaluation of an Application for a License

***STAGE II – Submission of Information & Documents***

**Application Reference Number: E/AL/N/16/xx**

**Schedule B – PART II**

The applicant has submitted/not submitted all the information and documents as per the regulations.

Prepared by : ………………………………………………………….. Checked by : ………………………………………………………….

Date : ………………………………………………………….. Date : …………………………………………………………..