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The Gazette of the Democratic Socialist Republic of Sri Lanka

EXTRAORDINARY

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## PART I : SECTION (I) — GENERAL

### Government Notifications

#### THE SRI LANKA ELECTRICITY ACT, No. 20 OF 2009

##### Regulations made under Section 54

BY virtue of the Powers vested in me by the Section 54 of the Sri Lanka Electricity Act, No. 20 of 2009, and on the recommendation of the Public Utilities Commission of Sri Lanka, I, W. D. J. Seneviratne, the Minister of Power and Energy, do by this order make the under-mentioned regulations.

Ministry of Power and Energy,  
31st August, 2009.  
09-637/1

W. D. J. SENEVIRATNE,  
Minister of Power and Energy.

L.D.-B. 3/2009.

#### SRI LANKA ELECTRICITY ACT, No. 20 OF 2009

REGULATIONS made by the Minister of Power and Energy on the recommendation of the Public Utilities Commission of Sri Lanka under Section 54 of the Sri Lanka Electricity Act, No.20 of 2009, read with Section 11 and Sub-section (1) of Section 21 of that Act.

Ministry of Power and Energy,  
Colombo 10,  
31st August, 2009.

W. D. J. SENEVIRATNE,  
Minister of Power and Energy.

#### Regulations

1. These Regulations may be cited as “the Electricity (Applications for Licences and Exemptions) Regulation, 2009”.
2. An Application for the issue of—
  - (a) a generation licence to generate Electricity over and above 25 MW, shall be in such form as specified in Part I of Schedule A to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule ;

- (b) a generation licence to generate electricity up to 25MW, shall be in such form as specified in Part I of Schedule B to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule.
- (c) a transmission licence, shall be in such form as specified in Part I of Schedule C to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule ; and
- (d) a distribution licence, shall be in such form as specified in Part I of Schedule D to these Regulations and be accompanied by the documents and information as specified in Part II of that Schedule.

3. An application for the grant of an exemption from the requirement of obtaining a—

- (a) generation licence to generate electricity less than 25 MW, shall be in such form specified in Part I of Schedule E to these Regulations and shall be accompanied by the documents and information as specified in Part II of that Schedule ; and
- (b) distribution licence, shall be in such form as specified in Part I of Schedule F to these Regulations and shall be accompanied by the documents and information as specified in Part II of that Schedule.

4. Every application shall have annexed to it a signed Declaration in the form as specified in Schedule G to these Regulations and such application shall be handed over or sent by registered post to the Commission at its principal office.

5. The Commission shall have the power at its sole discretion at any time after the receipt of an application, to call upon an applicant to provide any clarifications that it may, consider necessary on the contents of the application submitted, for the purpose of processing such application.

6. (1) The application fee payable in respect of applications submitted for the issue of the following licences or for the grant of an exemption, shall be as follows :—

(a) for a generation licence	-	Rs.	10,000.00
(b) for a transmission licence	-	Rs.	10,000.00
(c) for a distribution licence	-	Rs.	10,000.00
(d) for an exemption from obtaining a generation Licence or a distribution licence	-	Rs.	1,000.00

(2) Application fees shall be paid to the Commission by money order or bank draft, drawn in favour of “the Public Utilities Commission of Sri Lanka” and documentary proof of such payment shall be submitted along with the application.

7. In these Regulations, unless the context otherwise requires :-

“Act” means the Sri Lanka Electricity Act, No. 20 of 2009 ;

“applicant” means the person or body of persons who is or are making an application under the Act for the issue of licence or a for the grant of an exemption, as the case may be ;

“commission” means the Public Utilities Commission of Sri Lanka established by the Public Utilities Commission of Sri Lanka Act, No. 35 of 2002 ;

“distribution licence” means a licence granted under paragraph (c)(i)(c) of sub-section (1) of Section 13 of the Act ;

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“exemption” means an exemption granted from the requirement of obtaining a licence to generate or distribute electricity, under sub-section (1) of Section 10 of the Act ;

“generation licence” means a licence granted under paragraph (c)(i)(a) of Sub-section (1) of Section 13 of the Act ; and

“transmission licence” means a licence granted under paragraph (c)(i)(b) of Sub-section (1) of Section 13 of the Act.

## **Schedule D**

### **PART I**

#### **APPLICATION FORM FOR THE ISSUE OF A DISTRIBUTION LICENCE**

*SECTION A - where the applicant is the Ceylon Electricity Board.*

1. Name of the Applicant :
2. The legal status of the applicant :
3. Official address of the applicant :
4. Full names of the current members of the Board of the applicant :

5. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from 3 above) and other contact details.

6. Desired date on which the licence is to become operative.

7. List of approvals obtained or proposed to be obtained and agreements executed or proposed to be executed, in relation to this application.

8. A list of the administrative districts included (in whole or in part) in the geographical areas on which the Applicant seeks to operate its activities on the licence to which the application relates.

*SECTION B - Where the applicant is a local authority—*

1. Name of the Applicant :

2. The legal status of the applicant :

3. Official address of the applicant :

4. Full names of the all the members of the applicant local authority.

5. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from 3 above) and other contact details.

6. Desired date on which the licence is to become operative.

7. List of approvals obtained or proposed to be obtained and agreements executed or proposed to be executed, in relation to this application.

8. A list of the administrative districts included (in whole or in part) in the geographical areas on which the Applicant seeks to operate its activities on the licence to which the application relates ;

9. Proposed distribution capacity (MW) in respect of which the licence is being applied for :

10. Details of any previous application made for the issue of a licence to generate or distribute electricity or for an exemption from obtaining such licence, under the Electricity Act, No. 19 of 1950 or the Electricity Act, No. 20 of 2009, as the case may be, and where :

(a) such application was accepted and a licence was issued, details of all activities carried on by the applicant under such licence ;

(b) such application was rejected, the reasons for such rejection ; or

(c) such licence was revoked, the reasons for such revocation.

11. Details of any licence or exemptions obtained, applied for or being applied for by the applicant, in respect of the generation (whether over or less than 25 MW in capacity) or distribution of electricity.

*SECTION C - Where the applicant is a company incorporated under the Companies Act, No. 7 of 2007.—*

1. Name of the Applicant :

2. The legal status of the applicant :

3. Official address of the applicant :

4. The names of the shareholders of the company :
5. Whether the Government holds more than Fifty *per centum* of shares of the company :
6. Name, address and place of incorporation of a parent company (if any) :
7. Full names of the all the current members of the Board of Directors of the company :
8. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from 3 above) and other contact details.
9. Desired date on which the licence is to become operative.
10. List of approvals obtained or proposed to be obtained and agreements executed or proposed to be executed, in relation to this application.
11. A list of the administrative districts included (in whole or in part) in the geographical areas on which the Applicant seeks to operate its activities on the licence to which the application relates :
12. Proposed distribution capacity (MW) for which the licence is being applied for :
13. Details of any previous application made for the issue of a licence to generate or distribute electricity or for an exemption from obtaining such licence, under the Electricity Act, No. 19 of 1950 or the Electricity Act, No. 20 of 2009, as the case may be, and where :
  - (a) such application was accepted and a licence was issued, details of all activities carried on by the applicant under such licence ;
  - (b) such application was rejected, the reasons for such rejection ; or
  - (c) such licence was revoked, the reasons for such revocation.
14. Details of any licence or exemptions obtained, applied for or being applied for by the applicant, in respect of the generation (whether over or less than 25 MW in capacity) or distribution of electricity.

*SECTION D — Where the applicant is a society registered under the Co-operative Societies Law, No. 5 of 1972.—*

1. Name of the Applicant :
2. The legal status of the applicant :
3. Official address of the applicant :
4. Date of registration as a registered society under the Co-operative Societies Law, No. 5 of 1972 :
5. Full names of the current members of the governing body of the society :
6. Name and designation of the person to whom enquires may be addressed in relation to this application, together with an address for correspondence (if different from 3 above) and other contact details.
7. Desired date on which the licence is to become operative.

8. List of approvals obtained or proposed to be obtained and agreements executed or proposed to be executed, in relation to this application.

9. A list of the administrative districts included (in whole or in part) in the geographical areas on which the Applicant seeks to operate its activities on the licence to which the application relates :

10. Proposed distribution capacity (MW) or which the licence is being applied for :

11. Details of any previous application made for the issue of a licence to generate or distribute electricity or for an exemption from obtaining such licence, under the Electricity Act, No. 19 of 1950 or the Electricity Act, No. 20 of 2009, as the case may be, and where :

- (a) such application was accepted and a licence was issued, details of the activities carried on by the applicant under such licence ;
- (b) such application was rejected, the reasons for such rejection ; or
- (c) such licence was revoked, the reasons for such revocation.

12. Details of any licence or exemptions obtained, applied for or being applied for by the applicant, in respect of the generation (whether over or less than 25 MW in capacity) or distribution of electricity :

## PART II

### DOCUMENTS TO ACCOMPANY AN APPLICATION MADE FOR THE ISSUE OF A DISTRIBUTION LICENCE

1. If the Applicant is :—

- (a) a body established by an Act of Parliament, a copy of such Act ;
- (b) a company, a certified copy of the certificate of incorporation issued and of the Memorandum and Articles of Association of the company ; or
- (c) a society registered under the Co-operative Societies Law, No. 5 of 1972, a certified copy of the certificate of registration issued and of the by-laws of such society.

2. The following documents :-

- (a) most recent audited annual accounts, and if more than two months have lapsed since the end of the accounting year covered by the accounts submitted, a certificate from the applicant's auditor stating that no material adverse change has occurred between the date of the end of the accounting year covered by the accounts submitted and the date of the submission of the application, and the audited accounts of the previous two years ;
- (b) annual returns for the immediately preceding year ;
- (c) statement of account for the current year ;
- (d) audited accounts of the previous two years .

3. Details of technical expertise available with the applicant, to enable it to carry on its activities in respect of which the licence is being applied for.

4. Details of the funding available for the duration of the whole period for which the licence is being issued, to enable the applicant to carry on the proposed activities under such licence.

5. Details of any expected substantial capital outflows, including major decommissioning costs.

6. Estimates of net annual cash flows for the duration or the whole period of the licence, adequate to demonstrate the financial security and feasibility of the activities under such licence.

7. Any parent or bank guarantees in favour of or given by the applicant.

8. a statement of how the applicant proposes to comply and adhere to safety and performance standards and technical and other operational codes.

9. an outline statement of a business proposal covering a period of five years, relating to the activities which the applicant hopes to carry out under the licence, and such statement should include :

(a) particulars of the person or persons from whom the applicant intends to purchase or procure electricity for distribution and approximate number and expected maximum demand of customers giving a breakdown of the demand for each type of customer e.g. industrial, commercial etc. and the energy (GWh) to be supplied ;

(b) annual forecasts of costs, sales and revenues and project financing stating the assumptions underlying the figure provided :

(c) detailed map or maps to a scale sufficient to show clearly :-

(i) the area to which the application relates ;

(ii) the distribution system above 11kV, showing overhead lines, underground lines, and substations ; and

(iii) which overhead lines, underground cables and substations are to be constructed and which are already in existence ;

(d) single line diagram of the distribution system, showing what is to be constructed and what is already in existence ;

(e) details of embedded generators ;

(f) annual forecast of maximum demand in MW and energy in GWh ; and

(g) information relating to the location of interconnections with other distribution licences.

10. A statement describing any lands and servitudes which the applicant has acquired or proposes to acquire for the purpose of establishing the distribution plant to which the application relates.

11. Where the applicant is the Ceylon Electricity Board. In addition to the above, it shall be required to submit a plan specifying the geographical area on which it is operating its activities as at present and an expansion plan indicating the geographical area in which it proposes during a period of fifteen (15) years from the date of this application, to expand its activities relating to the distribution and supply of electricity, prepared in accordance with the general policy guidelines approved by the Cabinet of Ministers under Section 5 of the Sri Lanka Electricity Act, No. 20 of 2009.



**Schedule G**

DECLARATION

I/We\* hereby declare that,

1. I/We\* shall at all times comply in every respect with the conditions attached to any License/Exemption that may be awarded to me/us\* or as the same may be modified from time to time ;
2. I/We\* shall at all times comply with any decision, direction, order or determination made by the Public Utilities Commission of Sri Lanka in relation to the issue of the license/exemption ; and
3. The information provided by me/us\* is accurate and complete in all respects.

.....  
Full name(s) of signature(y/is).

Signed by:

Position held (where Applicant is a company, partnership, co-operative or other body corporate or other legal entity):

Date: .....

\*Strike off what is inapplicable.

09-637/2